# Face Morphing using 3D-Aware Appearance Optimization

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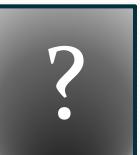
































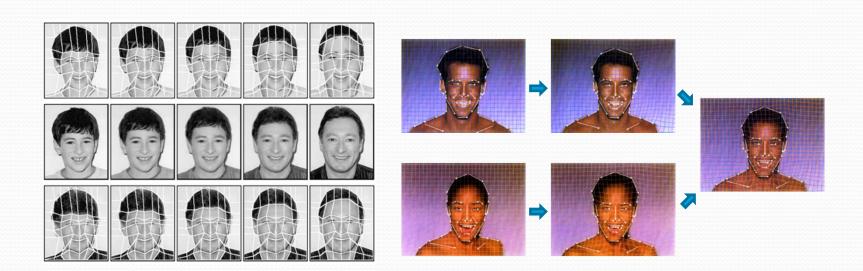






- Challenges
  - Large variations from identities, poses, expressions, ...
  - Human eyes are very sensitive
- Traditional image morphing
  - Manual labeling
  - Automatic correspondences
- Our method
  - Fully automatic
  - Roughly recover and interpolate 3D geometry
  - Optimize for a smooth face appearance change

- User-assisted morphing
  - Mesh morphing [Lee '96]
  - Field morphing [Beier '92]



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  - Mesh morphing [Lee '96]
  - Field morphing [Beier '92]
  - View morphing [Seitz '96]





- Automatic morphing
  - Bayesian [Bichsel '96]
  - Active Shape Models [Zanella '07]



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  - Moving Gradients [Mahajan '09]











- Automatic morphing
  - Bayesian [Bichsel '96]
  - Active Shape Models [Zanella '07]
  - Moving Gradients [Mahajan '09]
  - Regenerative Morphing [Shechtman '10]







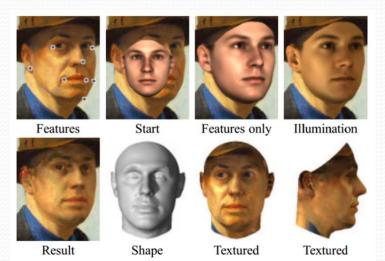




- 3D Face Animation
  - [Joshi '03]



[Blanz '03]



# Our Approach











# Our Approach

3D-assisted 2D method















Linearly interpolate 3D Shapes, Poses, and Positions





Input



Input







# 2. Interpolate 3D Shapes

Input



Input











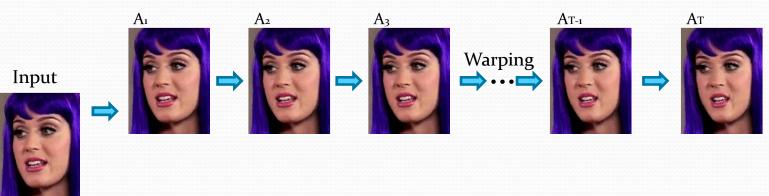


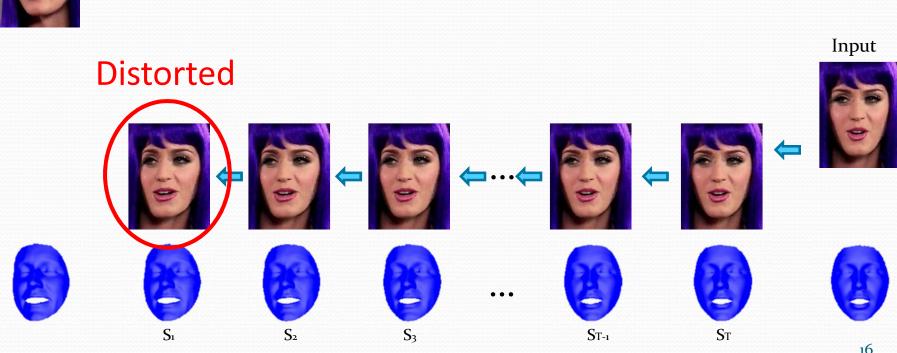




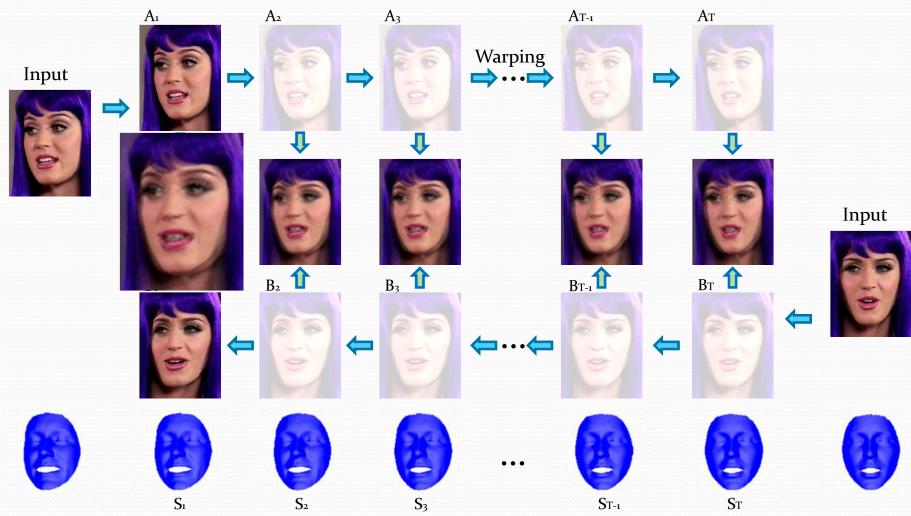


## 3. Pre-Warp Faces

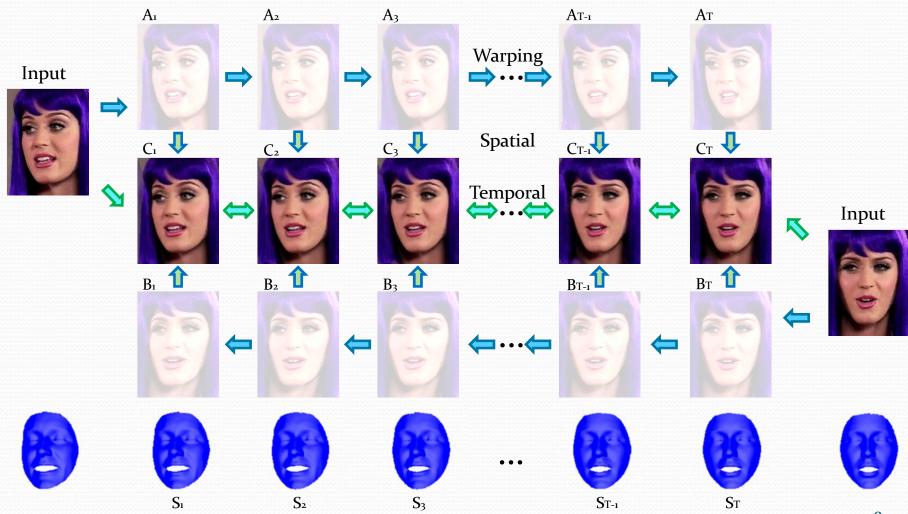




# 4. Appearance Optimization



### 4. Appearance Optimization



Input



Input







Linear span

$$S = \beta_1 \cdot S_1 + \beta_2 \cdot S_2 + \cdots + \beta_N \cdot S_N$$

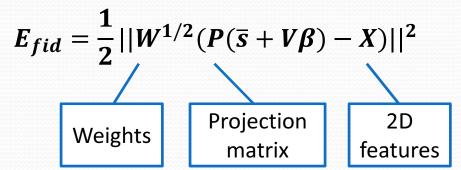
- PCA subspace
  - Mean shape
  - Eigen shapes  $V = [v_1, v_1, ..., v_n]$  New shape  $S = \overline{S} + V \cdot \beta$

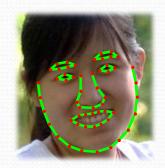
$$s = \overline{s} + V \cdot \beta$$

- Optimization
  - Total energy function

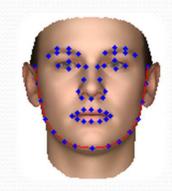
$$E = E_{fid} + c \cdot E_{pca}$$

Fidelity term





X: Facial features



s: 3D landmarks

- Optimization
  - Total energy function

$$E = E_{fid} + c \cdot E_{pca}$$

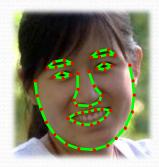
Fidelity term

$$E_{fid} = \frac{1}{2} ||W^{1/2} (P(\bar{s} + V\beta) - X)||^2$$

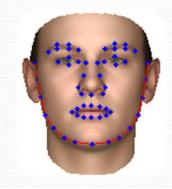
Subspace energy term

$$E_{pca} = \frac{1}{2} \boldsymbol{\beta}^T \boldsymbol{\Lambda}^{-1} \boldsymbol{\beta}$$

- Solution
  - E is quadratic function of  $\beta$
  - Solve  $\beta$  in a linear system

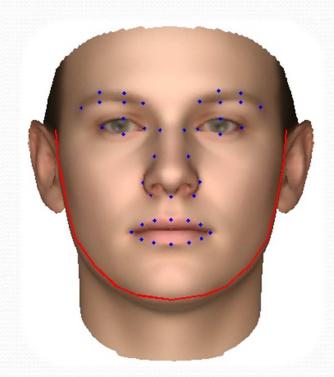


X: Facial features



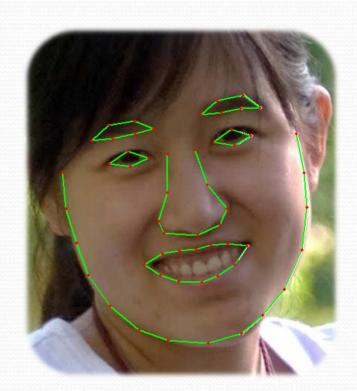
s: 3D landmarks

- Matching features
  - Internal landmarks
  - Face boundary

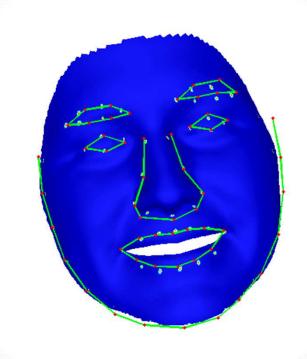


Algorithm

1. Detect landmarks



- Algorithm
  - 1. Detect landmarks
  - 2. Place 3D mean shape
  - 3. Find face boundary
  - 4. Find corresponding vertex
  - 5. Update 3D shape



# 2. Interpolate 3D Shapes

Input



Input













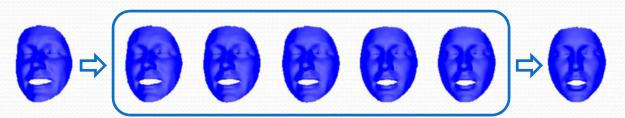




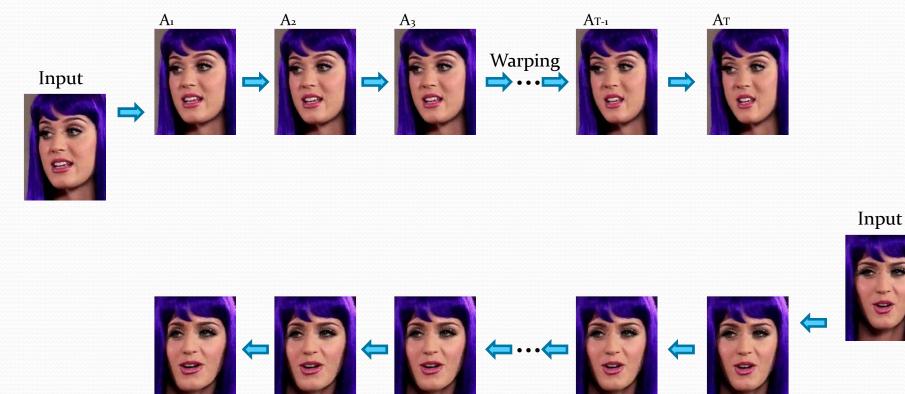


# 2. Interpolate 3D Shapes

- Parameters of 3D shapes
  - Intrinsic parameters
    - shape coefficients  $\beta$
  - External parameters
    - Rotation angles  $\theta_x$ ,  $\theta_y$ ,  $\theta_z$
    - Translations  $d_x$ ,  $d_y$
    - Scale s
  - Linearly interpolate all parameters



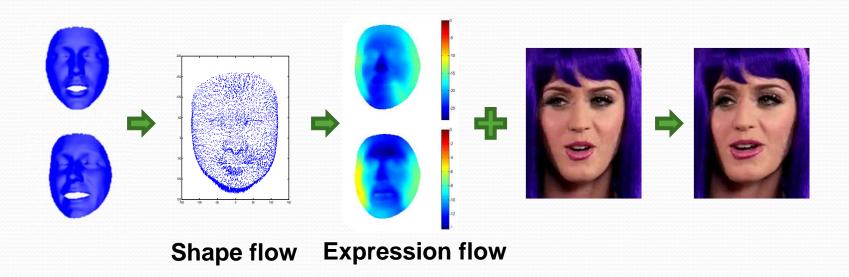
### 3. Pre-Warp Faces



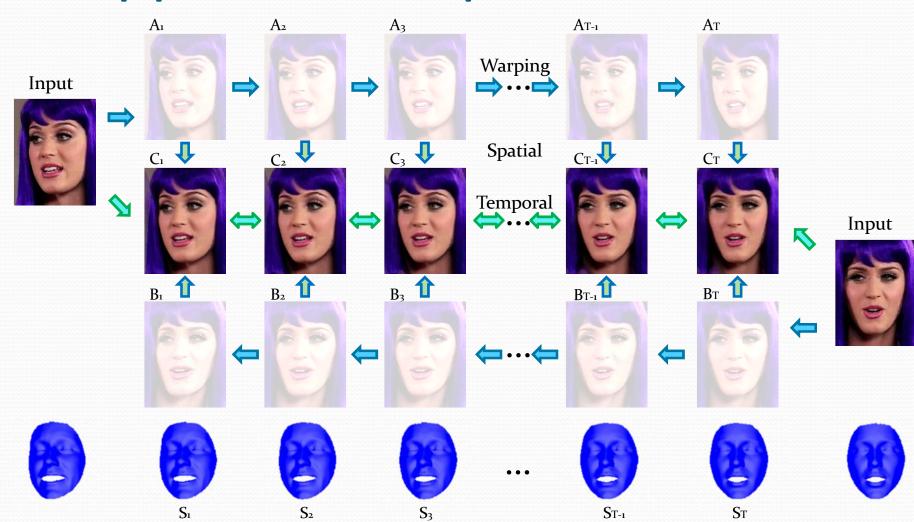


### 3. Pre-Warp Faces

- Expression Flow
  - Difference between two 3D Shapes
  - Apply to the original image



### 4. Appearance Optimization



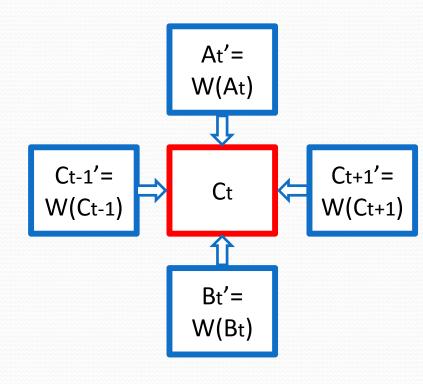
### 4. Appearance Optimization

- A new frame should be similar to
  - the pre-warped source frames
  - the warped adjacent frames
  - Energy function

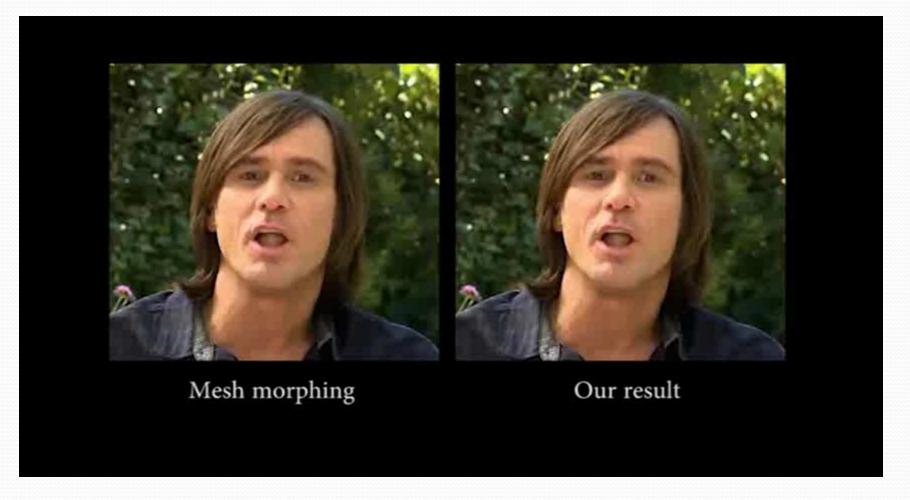
• 
$$E = k_A(t) \cdot ||C_t - A_t'||^2$$
  
 $+ k_B(t) \cdot ||C_t - B_t'||^2$   
 $+ k_C \cdot ||C_t - C_{t-1}'||^2$   
 $+ k_C \cdot ||C_t - C_{t+1}'||^2$ 

#### New frame

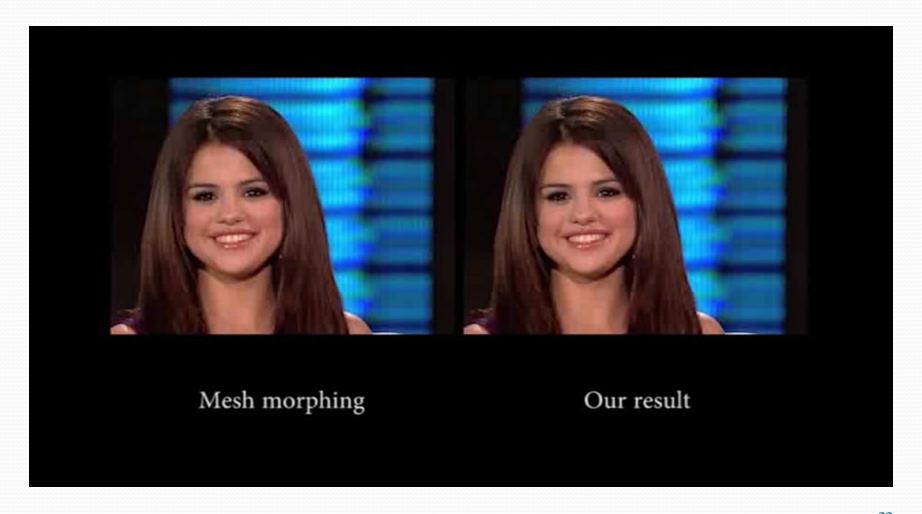
• 
$$C_t = k_A(t) \cdot A_t' + k_B(t) \cdot B_t'$$
  
  $+k_C \cdot C_{t-1}' + k_C \cdot C_{t+1}'$ 



# Examples (same subject)



# Examples (diff subjects)



# **Examples (Stitching)**

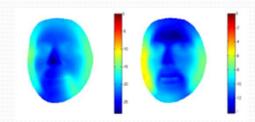


#### Conclusion

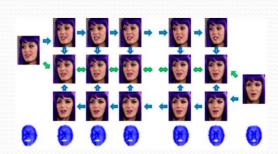
 Face morphing from two faces of difference poses and expressions.



 Warping the two faces by the face flow extracted from roughly fit 3D model s.



 Appearance optimization can recover small misalignment and other changes.



# Thank you